

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NO. PHN 17,551
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. Application No. <b>09/787096</b> (37 CFR 1.5)
INTERNATIONAL APPLICATION NO. PCT/EP00/06619	INTERNATIONAL FILING DATE JULY 12, 2000	PRIORITY DATE CLAIMED JULY 15, 1999
TITLE OF INVENTION METHOD OF SCANNING A RECORDING DISC FOR DEFECTS, AND RECORDING DEVICE FOR RECORDING INFORMATION ON A DISC-SHAPED RECORDING MEDIUM		
APPLICANT(S) FOR DO/EO/US GIJSBERT JOSEPH VAN DEN ENDEN		
<p>Applicant(s) herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))               <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2))</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))               <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendment to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p>Items 11. to 16. below concern document(s) or information included:</p> <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.  <input type="checkbox"/> A SECOND OR SUBSEQUENT preliminary amendment.</li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input checked="" type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information:               <ol style="list-style-type: none"> <li>a) AUTHORIZATION PURSUANT TO 37 CFR 1.136(a)(3)</li> <li>b) THREE (3) SHEETS OF FORMAL DRAWINGS</li> <li>c) APPLICATION AS PUBLISHED (WO 01/06510)</li> </ol> </li> </ol>		

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U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>09/787096</b>		INTERNATIONAL APPLICATION NO. PCT/EP00/06619	ATTORNEY'S DOCKET NUMBER PHN 17,551
17 <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(A)(1)-(5)): Search Report has been prepared by the EPO or JPO \$940.00 International preliminary-examination fee paid to USPTO (37 C.F.R. 1.482) \$720.00 No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) \$760.00 Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO \$970.00 International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 96.00 ENTER APPROPRIATE BASIC FEE AMOUNT = \$970.00			CALCULATIONS (PTO USE ONLY)
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).			\$
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total Claims	17 - 20 =		X \$ 18.00
Independent claims	2 - 3 =		X \$ 78.00
MULTIPLE DEPENDENT CLAIMS (if applicable)			+ \$260.00
TOTAL OF ABOVE CALCULATIONS =			\$970.00
Reductions by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 C.F.R. 1.9, 1.27, 1.28)			\$
SUBTOTAL =			\$970.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).			\$
TOTAL NATIONAL FEE =			\$
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property			\$40.00
TOTAL FEES ENCLOSED =			\$1,010.00
			Amount to be refunded \$
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a. <input type="checkbox"/> A check in the amount \$_____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>14-1270</u> in the amount of <u>\$1,010.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fee, with the exception of the Base Issue Fee, which may be required, or credit any overpayment to Deposit Account No. 14-1270. A duplicate copy of this sheet is enclosed.			
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.			
SEND ALL CORRESPONDENCE TO:		 (SIGNATURE)	
Corporate Patent Counsel Philips Electronics North America Corporation 580 White Plains Road Tarrytown, NY 10591		<b>Michael E. Belk</b> NAME	
DATE OF MAILING: March 13, 2001		<b>33,357</b> (REGISTRATION NUMBER)	

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

GIJSBERT JOSEPH VAN DEN ENDEN

PHN 17,551

Filed: CONCURRENTLY

Title: METHOD OF SCANNING A RECORDING DISC FOR DEFECTS, AND  
RECORDING DEVICE FOR RECORDING INFORMATION ON A DISC-SHAPED  
RECORDING MEDIUM

Commissioner for Patents, Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Prior to calculation of the filing fee and examination, please  
amend the above-identified application as follows:

IN THE CLAIMS

Please amend the claims as follows:

4. (Amended) A method as claimed in Claim 2, wherein said  
predetermined period of time lies in a range from approximately 50  
 $\mu$ s to approximately 75  $\mu$ s, and is preferably approximately 60  $\mu$ s.

5. (Amended) A method of examining a record carrier (1) for the  
presence of spot defects (12; 13), the method comprising the  
following steps:

- a) examining the integrity of predetermined test tracks (2T) of the  
record carrier (1), preferably by means of a method as claimed in  
Claim 1;
- b) examining the integrity of tracks (2) adjacent the relevant test  
track (2T2; 2T3) each time that upon the examination a test track  
(2T2; 2T3) appears to be defective, in order to determine in this

way the number (X) of tracks (2) affected by the same spot defect (12; 13);

c) entering the relevant tracks (2) in a defect list each time that the number (X) thus determined in the step (b) is greater than a predetermined threshold value (M);

d) storing the defect list in a memory (25).

7. (Amended) A method as claimed in Claim 5, wherein the defect list is recorded on the examined record carrier (1).

8. (Amended) A method of recording information, particularly real time video, on a record carrier (1) of the type having a multitude of concentric substantially circular recording tracks (2), particularly a DVR disc, the method comprising the steps of:

- first providing, in an examination phase, a defect list of tracks affected by a comparatively large spot defect (13) by means of a method as claimed in Claim 6;
- subsequently recording information on the disc in a recording phase while reference is made to said defect list, the recording tracks included in said defect list being skipped in the recording process.

9. (Amended) A method of examining a record carrier (1) for the presence of spot defects (12; 13), comprising the following steps:

a) examining the integrity of predetermined test tracks (2T) of the record carrier (1), preferably by means of a method as claimed in Claim 1;

b) entering the relevant tracks (2T2; 2T3) in a primary defect list each time that upon the examination of a test track (2T2; 2T3) it appears to be defective, and, optionally, entering tracks (2) situated in a suspect area (3T2; 3T3) at opposite sides of the relevant test track (2T2; 2T3) in an alarm list;

c) storing the primary defect list and, if applicable, the alarm list in a memory (25).

11. (Amended) A method of recording information, particularly real time video, on a record carrier (1) of the type having a multitude of concentric substantially circular recording tracks (2), particularly a DVR disc, the method comprising the steps of:

- first providing, in a primary examination phase, a primary defect list of test tracks (2T2; 2T3) having a defect and, optionally, an alarm list of tracks (2) situated in a suspect area (3T2; 3T3) at opposite sides of the relevant test tracks (2T2; 2T3), by means of a method as claimed in Claim 10;
- subsequently recording information on the disc in a recording phase while reference is made to said primary defect list and said optional alarm list, the recording tracks included in said primary defect list as well as the tracks (2) situated in a suspect area (3T2; 3T3) at opposite sides of the relevant test tracks (2T2; 2T3) being skipped in the recording process;
- subsequently examining the integrity of the tracks (2) in said suspect areas (3T2; 3T3) in a secondary examination phase, in order to determine in this way the number (X) of tracks (2) affected by the same spot defect (12; 13);
- entering the relevant tracks (2) in a secondary defect list each time that the number (X) thus determined is greater than a predetermined threshold value (M).

16. (Amended) A method as claimed in Claim 15, wherein said predetermined period of time lies in a range from approximately 50  $\mu$ s to approximately 75  $\mu$ s, and is preferably approximately 60  $\mu$ s.

17. A recording device (20) suitable for the recording of information, particularly real time video or audio, on a record carrier (1) of the type comprising a multitude of concentric substantially circular recording tracks (2), particularly an optical disc, which recording device comprises:

- a control unit (22);
- a write/read unit (21) adapted to aim a laser beam at a track (2) of a record carrier (1) under control of the control unit (22) and to receive laser light reflected from the disc (1), and further adapted to supply a tracking signal to the control unit (22), which tracking signal has been determined on the basis of the reflected laser light;

wherein the control unit (22) is adapted to carry out the method as claimed in Claim 16.

REMARKS

The claims have been amended to delete multiple dependencies.

The above amendments are submitted to place this application in proper U.S. format. Entry of the amendment and an early action on the merits are solicited.

Respectfully submitted,

By Michael E. Belk  
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## Appendix A

4. A method as claimed in Claim 2 [or 3], wherein said predetermined period of time lies in a range from approximately 50  $\mu$ s to approximately 75  $\mu$ s, and is preferably approximately 60  $\mu$ s.

5. A method of examining a record carrier (1) for the presence of spot defects (12; 13), the method comprising the following steps:

- a) examining the integrity of predetermined test tracks (2T) of the record carrier (1), preferably by means of a method as claimed in [any one of the preceding Claims] Claim 1;
- b) examining the integrity of tracks (2) adjacent the relevant test track (2T2; 2T3) each time that upon the examination a test track (2T2; 2T3) appears to be defective, in order to determine in this way the number (X) of tracks (2) affected by the same spot defect (12; 13);
- c) entering the relevant tracks (2) in a defect list each time that the number (X) thus determined in the step (b) is greater than a predetermined threshold value (M);
- d) storing the defect list in a memory (25).

7. A method as claimed in Claim 5 [or 6], wherein the defect list is recorded on the examined record carrier (1).

8. A method of recording information, particularly real time video, on a record carrier (1) of the type having a multitude of concentric substantially circular recording tracks (2), particularly a DVR disc, the method comprising the steps of:



- first providing, in an examination phase, a defect list of tracks affected by a comparatively large spot defect (13) by means of a method as claimed in [any one of the Claims 5-7] Claim 6;
- subsequently recording information on the disc in a recording phase while reference is made to said defect list, the recording tracks included in said defect list being skipped in the recording process.

9. A method of examining a record carrier (1) for the presence of spot defects (12; 13), comprising the following steps:  
a) examining the integrity of predetermined test tracks (2T) of the record carrier (1), preferably by means of a method as claimed in [any one of the Claims 1-4] Claim 1;  
b) entering the relevant tracks (2T2; 2T3) in a primary defect list each time that upon the examination of a test track (2T2; 2T3) it appears to be defective, and, optionally, entering tracks (2) situated in a suspect area (3T2; 3T3) at opposite sides of the relevant test track (2T2; 2T3) in an alarm list;  
c) storing the primary defect list and, if applicable, the alarm list in a memory (25).

11. A method of recording information, particularly real time video, on a record carrier (1) of the type having a multitude of concentric substantially circular recording tracks (2), particularly a DVR disc, the method comprising the steps of:  
- first providing, in a primary examination phase, a primary defect list of test tracks (2T2; 2T3) having a defect and, optionally, an alarm list of tracks (2) situated in a suspect area (3T2; 3T3) at opposite sides of the relevant test tracks (2T2; 2T3), by means of a method as claimed in [any one of the Claims 9-10] Claim 10;  
- subsequently recording information on the disc in a recording phase while reference is made to said primary defect list and said

optional alarm list, the recording tracks included in said primary defect list as well as the tracks (2) situated in a suspect area (3T2; 3T3) at opposite sides of the relevant test tracks (2T2; 2T3) being skipped in the recording process;

- subsequently examining the integrity of the tracks (2) in said suspect areas (3T2; 3T3) in a secondary examination phase, in order to determine in this way the number (X) of tracks (2) affected by the same spot defect (12; 13);
- entering the relevant tracks (2) in a secondary defect list each time that the number (X) thus determined is greater than a predetermined threshold value (M).

16. A method as claimed in Claim [14 or] 15, wherein said predetermined period of time lies in a range from approximately 50  $\mu$ s to approximately 75  $\mu$ s, and is preferably approximately 60  $\mu$ s.

17. A recording device (20) suitable for the recording of information, particularly real time video or audio, on a record carrier (1) of the type comprising a multitude of concentric substantially circular recording tracks (2), particularly an optical disc, which recording device comprises:

- a control unit (22);
- a write/read unit (21) adapted to aim a laser beam at a track (2) of a record carrier (1) under control of the control unit (22) and to receive laser light reflected from the disc (1), and further adapted to supply a tracking signal to the control unit (22), which tracking signal has been determined on the basis of the reflected laser light;

wherein the control unit (22) is adapted to carry out the method as claimed in [any one of the Claims 1-16] Claim 16.

Title: Method of scanning a recording disc for defects, and recording device for recording information on a disc-shaped recording medium.

The present invention generally relates to a method of recording information on a disc-shaped recording medium of the type having a multitude of substantially circular concentric recording tracks. Such recording tracks may take the form of individual circular tracks or of one continuous spiral track. Each track is divided into logic blocks and each block  
5 has a data area for the recording of data. Furthermore, each block usually has an area reserved for the recording of a check number or "check sum".

In general, the amount of information to be recorded in a recording session is greater than one block. The information to be recorded, also referred as "file", is then divided into successive data packets having the size of one block, and the successive data packets of a  
10 file are recorded in different blocks of the disc. For a rapid data transfer it is then desirable that the successive data packets are recorded in successive blocks. The recording process can then proceed virtually continuously. Likewise, during the subsequent reading (playback) of the information recorded on the disc the read process can proceed continuously.

In practice, a disc may exhibit defects as a result of which information cannot,  
15 or at least not reliably, be recorded at the location of the defect. The defects may be caused by material defects in the disc or surface flaws of the disc. The blocks affected by the defect are then no longer suitable for recording.

The defects may be of a very local nature and may be confined to a small portion of only one block, which defects will be referred to hereinafter as "point defects" but it  
20 is likewise possible that a defect occupies a larger portion of the surface area of the recording disc. The last-mentioned defects will be referred to hereinafter as "spot defects".

There are various possibilities of coping with the occurrence of defects in recording discs. A first possibility is to check at regular intervals during the write process whether the information to be recorded has been recorded correctly. This is effected by  
25 reading out the recorded information and to compare the information thus read with the source information: if it appears to be impossible to read the information or if the information read deviates from the source information a write error is detected, which is subsequently repaired by repeating the write operation in another recording area of the recording disc. An example of such a read-after-write method is described in US-A 5,218,590. It is then even possible that

the recording disc has certain spare recording areas, which normally are not inscribed but which are only used for re-writing information for which the first write operation failed: an example of this is described in US-A 5,623,470.

A drawback of such read-after-write methods is that the recording process is slowed down by the verification during the recording process and the possible re-writing of an information packet. Therefore, such methods are suitable only if the speed of the recording process is not a critical factor, for example when the information to be recorded is available in a memory and can simply be retrieved again. Such a situation occurs for example in the case of recording of data from a computer memory.

However, there are situations in which the speed of the recording process, in particular the continuity of the recording process, is a critical factor. Such a situation occurs, for example, in the case of real-time recording of signals having a high information rate, for example audio signals or, in particular, video signals. In order to allow the recording process to be carried out in an undisturbed manner it is desirable that information relating to the location of defective blocks is available prior to the recording process. Said information is then employed during recording and the defective blocks are simply skipped. An example of such a recording process is described in JP-A-09.102.173.

The present invention more particularly relates to a method of acquiring the information for specifying the defect locations. Until now it is customary to acquire said information by recording dummy data on the recording disc during a test session and to read out the recorded dummy data and subsequently compare said data with the source data. It is then customary to examine all the blocks of all the recording tracks on the recording disc in this manner. This has been described clearly in, for example, EP-A 0 798 716, from which the preamble of Claim 1 is known. However, such a method has the drawback that it takes much time. This is a drawback particularly in the case of, for example, a video recorder, since a user may expect that a video recorder is ready for recording fairly rapidly after insertion of a new disc.

It is a major object of the invention to provide a more efficient method of testing a recording disc for defects.

The present invention proposes a method which is particularly useful in recording systems which intrinsically include a very powerful error correction. Such a recording system is, for example, DVR (Digital Video Recording), which system is known per se and will not be described in more detail here. It is to be noted merely that in DVR a recording layer of a disc is disposed at a comparatively short distance (approximately 0.1 mm)

from the disc surface. A laser beam used for writing/reading then has a focus which is situated fairly close to the disc surface which faces the laser source, as a result of which the laser spot formed on this surface is comparatively small. The system is therefore comparatively susceptible to slight disruptions of the disc surface.

5           The error correction of the DVR system is so powerful that the occurrence of small errors in a comparatively small portions of a block, particularly those caused by point defects, no longer presents a problem. If recording discs intended for DVR would have exclusively point defects, these recording discs should, in fact, not even be examined for the presence of such flaws. However, the disc surface may also exhibit comparatively large  
10 substantially continuous two-dimensional defect areas, i.e. so-called spot defects. The affected portion of the block will be larger as the spot defects are larger and the affected portion of a block may even be so large that the error correction system can no longer, or at least not rapidly enough, correct the resulting write errors. It is therefore desirable to know the locations of spot defects which are larger than a predetermined acceptance threshold.

15           Therefore, it is a particular object of the present invention to provide an efficient method of testing a disc-shaped recording medium, in which defect areas whose physical dimensions are larger than a predetermined threshold size are identified comparatively rapidly while defect areas whose physical dimensions are below said threshold  
20 can be ignored.

          The present invention advantageously utilizes the fact that a spot defect whose dimension in the longitudinal direction of recording track (tangential dimension) is so large that the resulting recording error cannot be corrected by the error correction system and further has such a large dimension in the transverse direction of the recording tracks (radial  
25 dimension) that the spot defect extends over many adjacent recording tracks, and the present invention is based on the recognition of the fact that it is then not necessary examine all the recording tracks individually but that it suffices to examine only a few recording tracks, referred to as test tracks, which are spaced at a comparatively large distance from one another. There is always an area with a comparatively large number of non-examined recording tracks  
30 situated between the individual test tracks which have been examined. If during such an examination process no defect is found, this does not mean that the examined disc does not have any defects at all but it will be obvious that a possible defect will then always have a radial dimension smaller than said number of non-examined recording tracks situated between

two adjacent test tracks, and that the tangential dimension of such spot defect which is yet present will also be small enough.

The present invention further proposes to examine the direct proximity of the test track more closely if a test track is found to exhibit a defect, in order to determine the size of the defect. This can be effected prior to recording but preferably this is effected after the recording, and a suspect area at opposite sides of the test track which is found to be defective is skipped during recording.

Thus, in accordance with the present invention an acceptable compromise is reached between a comparatively short test time and the reliability of the test.

In the prior art it is customary, as stated, to check for the presence of disc defects on the basis of writing and substantially reading (dummy) data. A drawback of such test methods is that they are quite time-consuming. A further drawback is that such methods cannot be used for write-once recording discs.

It is a further object of the present invention to overcome these problems as well. To this end, it is proposed by the present invention to examine a recording track of a recording disc on the basis of the tracking signal. For this purpose, the relevant track on the recording disc is simply followed by a laser beam without information being written into said track or without information being read from said track. If the recording disc has a defect the tracking signal will exhibit identifiable deviations or errors or will even be lost completely. This can be detected in a fairly simple manner. The defect criterion proposed by the present invention is that the absolute value of the tracking signal exceeds a predetermined threshold level for a predetermined time or longer.

A major advantage of this test method proposed by the present invention is that no write operation is effected and that the test can be performed very rapidly.

These and other aspects, features and advantages of the present invention will be elucidated further by means of the following description of a preferred form of a test method in accordance with the invention with reference to the drawings, in which:

Figure 1 is a diagrammatic plan view of a part of a disc-shaped recording medium;

Figure 2 is a block diagram which illustrates a part of a recording device in which the present invention is embodied;

Figure 3 is a flow chart of a test method in accordance with the invention; and

Figure 4 is a flow chart of another test method in accordance with the invention.

Figure 1 is a diagrammatic plan view of a part of a disc-shaped recording medium 1, for example and in particular an optical recording disc for use in DVR. The disc 1 has a multitude of pre-defined substantially circular recording tracks 2. To illustrate the present invention the recording disc 1 in Figure 1 is shown with three disc defects 11, 12 and 13 in the form of spots. The radial and tangential dimensions of each spot defect are of the same order of magnitude, the spot defects 11, 12 and 13 being represented as substantially circular spots in Figure 1.

The radial and tangential dimensions of the first spot defect 11 are comparatively small. Consequently, the affected track length of a recording track 2 afflicted with the first spot defect 11 is comparatively small, the number of tracks affected by the first spot defect 11 being also comparatively small. The same applies to the second spot defect 12.

The DVR system has a particularly powerful error correction system so that it is substantially immune to errors produced over a comparatively small track length. Only when the affected track length becomes comparatively large the error correction system will not be able to correct the resulting recording errors. By way of illustration, this is the case with the comparatively large spot defect 13 and it is also apparent from Figure 1 that the number of recording tracks 2 affected by the comparatively large spot defect 13 is larger than the number of recording tracks affected by the comparatively small spot defects 11 and 12.

In a conventional manner each recording track 2 is tested by writing and reading data, which is very time-consuming. According to the present invention it is proposed to examine only a limited number of recording tracks of the disc 1, the tracks to be examined being referred to hereinafter as "test tracks 2T". Figure 1 shows some of these test tracks as comparatively bold lines referenced 2T1, 2T2 etc. Successive test tracks 2T are spaced apart by a predetermined number N of recording tracks. Hereinafter it is assumed by way of example that N is 50. However, it will be evident to one skilled in the art that N may have any other suitably selected value.

Figure 2 diagrammatically illustrates a recording device 20 for recording information such as real-time video signals on a recording disc 1, in which recording device 20 the present invention is embodied. The recording device 20, which will also be referred to as "video recorder", has a write/read unit 21 adapted to record information on and to read information from the recording disc 1 under control of a control unit 22. As it is basically possible to use a standard write/read unit for this purpose, this unit will not be described in further detail. It is to be noted merely that the control unit 22 can give commands to the

write/read unit 21 via a command line 23, for example the command to carry out a write or read operation and the sequence number of the relevant recording track 2 to which the write or read operation relates.

As is well-known, an optical recording system uses a laser beam for writing information onto a track of the rotating disc 1 and also for reading information from the track on the recording disc 1. The laser beam is then controlled so as to follow the track, as is well-known. For this purpose use is made of a tracking signal based upon the reflection of the laser beam from the track on the disc. If the beam is aimed exactly at the center of the track the tracking signal has a known nominal value; in the following description it will be assumed for the sake of simplicity that this nominal value is zero, but to one skilled in the art it will be evident which adaptations are necessary if the nominal value is non-zero. If the beam is not exactly centered on the track the reflected beam will contain information for controlling a correction movement of the laser beam, as is known per se. For the sake of simplicity it will be assumed in the following description that the value of the tracking signal is proportional to the radial deviation of the laser beam with respect to the track center and that the sign of the tracking signal indicates the direction of the deviation. If the disc has surface defects the tracking signal will deviate or will be lost completely, which can be detected in the write/read unit 21 and/or in the control unit 22, as will be evident to one skilled in the art.

This tracking signal is obtained even if the control unit 22 only instructs the write/read unit 21 to follow a track without information being read or written. The tracking signal of the addressed track is transferred to the control unit 22 by the write/read unit 21 via a signal line 24.

In the scope of the present invention it is assumed in general that a track has a satisfactory integrity if there are no disturbances in the tracking signal over the full length (one full revolution) of a track, or if they appear at the most in a small enough portion of the track; this will be referred to as a "correct track" or "track OK". However, if the tracking signal contains one or more disturbances over a too large part of the track, this will be referred to as "failing track". The lack of track integrity or the track failure, will be regarded as an indication of the presence of a surface defect which affects at least a part of the relevant track. Thus, the tracking signal S is indicative of the presence of a surface defect without a time-consuming write/read/compare cycle being required.

The control unit 22 of the recording device 20 is adapted to carry out a test procedure in accordance with the present invention to ascertain which tracks should not be used for recording because they have been damaged too much, when the video recorder 20



receives a recording command from a user, or even before this, when a disc 1 is loaded into the video recorder 20 for the first time. An example of this procedure will be elucidated with reference to Figures 1 and 3.

5 The test starts in a step 101 when a new recording disc 1 is loaded into the video recorder 20.

In a step 102 the control unit 22 instructs the write/read unit 21 to determine the integrity of the first test track 2T1 to be examined. The sequence number or address of this first test track 2T1 can be 1. The write/read unit 21 aims its laser beam at the first test track 2T1 and follows this track for a full revolution. It will be evident that in this case the presence or absence of data in this said track is not relevant: any data information which may be present is ignored. The test merely aims at examining whether the write/read unit 21 can follow the test track 2T1 without any problems over its full length.

10 Upon completion of one full revolution of the disc 1, or during this revolution, the control unit 22 checks in a step 103 whether the integrity of the examined test track is OK on the basis of the received tracking signal. If this appears to be the case, as is shown for the first test track 2T1 in Figure 1, the control unit 22 instructs the write/read unit 21, in a step 104, to move the laser beam by N tracks, and the control unit 22 proceeds to a step 105. N may then have a predetermined fixed value, for example and preferably 50.

15 In the step 105 it is checked whether the end of the disc has been reached. If this is the case, the test procedure is stopped; if it is not the case, the control unit 22 reverts to the step 103 to test the integrity of the next test track.

Thus, the recording tracks situated between successive test tracks are skipped, i.e. they are not tested. If a spot defect is situated in the intermediate area, such as the first spot defect 11 shown in Figure 1, this will not be detected.

25 If in the step 103 it appears that tracking errors have been found, the control unit 22 proceeds to a step 110 to determine the radial dimension of the detected spot defect, which is expressed as the number of tracks 2 affected by this spot defect, which is denoted herein by the letter X (track), where the parameter "track" is the sequence number of the relevant recording track 2. This procedure is followed, for example after scanning of the second test track 2T2 shown in Figure 1, where a tracking error will occur as a result of the second spot defect 12.

The control unit 22 is adapted to determine subsequently in a step 120 the magnitude of the detected spot defect by comparing the radial dimension X (track) with a predetermined threshold value M. If it is found, as with the second test track 2T2, that the

radial dimension X (track) thus determined for the detected spot defect, such as the second spot defect 12, is smaller than the predetermined threshold M, it is decided that the tangential dimension of the detected spot defect is permissible. The affected track length of each of the recording tracks affected by the second spot defect 12, such as the second test track 2T2, is then comparatively small, and the error correction system can handle and correct the resulting write and/or read errors. Thus, although these recording tracks are affected by a spot defect, they are normally released for recording purposes. The control unit 22 now returns to the step 104. A suitable value for M is for example approximately 50.

If in the step 120 it is found, such as in the case of the third test track 2T3, that the radial dimension X (track) found for the detected spot defect, such as the comparatively large third spot defect 13, is not smaller than the predetermined threshold M, it is decided that the tangential dimension of the detected spot defect is impermissibly large. The affected track length of each of the recording tracks affected by the third spot defect 13, such as the third test track 2T3, is so large that the resulting write and/or read errors can no longer be corrected by the error correction system. The control unit 22 then proceeds to a step 130 in order to record the addresses of the relevant tracks affected by the third spot defect 13 in a defect list stored in a memory 25 associated with the control unit 22. After this, the control unit 22 returns to the step 104.

The defect list may take the form of an initially empty memory in which only the sequence numbers or addresses of affected tracks are stored. The defect list may alternatively take the form of a memory having a predetermined number L of storage locations, each storage location corresponding to the sequence number of a given recording track and the content of said storage location being indicative of the relevant recording track being affected or non-affected. It is then adequate if each of the storage locations comprises only one bit.

The recording device 20 is now ready to record information (video signals) on the disc 1. The write process will be substantially identical to a standard write process, with the proviso that the control unit 22 is adapted to read out the defect list in the memory 25 during writing and to skip the recording tracks listed therein. It will be evident that it is thus also possible to record a very rapid information stream, for example a real-time digital video signal, continuously without being troubled by possible spot defects: in the case of comparatively small spot defects the error correction system is activated to correct any errors and in the case of comparatively large spot defects the affected tracks are simply skipped. Moreover, it will be evident that the method of testing the recording tracks of the recording

disc, as proposed in accordance with the present invention, requires a comparatively small amount of time.

The test method proposed by the present invention for testing the recording tracks of the recording disc may be carried out each time when a new disc 1 is loaded into the apparatus 20. However, it is alternatively possible for the control unit 22 to be adapted to record the defect list on the disc just tested. In that case the control unit 22 may be adapted to check first of all, each time that a new disc 1 is loaded into the apparatus 20, whether already a defect list has been recorded on this disc and, if this is the case, to load it into the memory 25.

In the step 104 the jump of N tracks to be skipped can be made with respect to the test track 2T2 as shown, but this jump can also be made with respect to the track having the highest sequence number, which has been tested in the process of the step 110.

In the step 110 the parameter X (track) can be determined by testing, starting from the relevant test track, all the individual recording tracks having decreasing sequence numbers in a step similar to the step 103 until a recording track without tracking errors is found, and by subsequently testing, again starting from the relevant test track, all the individual recording tracks having increasing sequence numbers until a recording track without tracking errors is found. However, it will also be possible to skip each time a number of tracks or to halve each time the jump between two tracks to be tested, starting from N. It will be evident to one skilled in the art that various efficient search strategies are possible. However, for the sake of simplicity these are not illustrated in the flow chart of Figure 3.

As already stated, the integrity of the track being examined is determined on the basis of the received tracking signal in the step 103. Although a variety of criteria are conceivable, the present invention proposes a criterion which, on the one hand, can be implemented comparatively simply and, on the other hand, yields a satisfactory reliability. For the criterion proposed by the present invention it is assumed that under normal conditions the tracking signal as a rule does not deviate much from the nominal value which corresponds to the center of the track being examined and that any significant deviations will have only a short duration. Thus, in accordance with the present invention it is assumed that the track being examined is defective when it is found that a tracking signal, which is indicative of a significant deviation with respect to the center of the track, has an impermissibly long duration.

The tracking signal has a nominal signal value  $S_{\text{nom}}$  which corresponds to the center of a track; as already stated, it is assumed that  $S_{\text{nom}} = 0$ . Furthermore, the absolute value of the tracking signal has a maximum  $S_{\text{max}}$  which corresponds to a maximum lateral (radial)

deviation with respect to the center of a track. A tracking error parameter D is defined as follows by normalizing the absolute value at said maximum:

$$D = |S| / S_{\max}$$

Under normal conditions said value will appear more briefly as the tracking error parameter is greater. In accordance with the preferred criterion proposed by the present invention the track being tested is considered to be defective if the tracking error parameter is greater than 0.5 for a length of time of 60  $\mu$ s or longer.

Figure 4 shows a flow chart of another variant of the test method in accordance with the present invention, which is to be preferred over the method described with reference to Figure 3. Identical reference numerals refer to identical or similar steps, which will therefore not be described extensively.

If in the step 103 it is found that the track being tested is defective, the sequence number of this track is stored in a list in a step 141, said list being stored in the memory 25 and being referred to as "primary defect list". This is the case, for example, for the second test track 2T2 and the third test track 2T3. Now, in contradistinction to the method described with reference to Figure 3, it is not determined which adjacent tracks are affected: for the time being it is assumed that all the tracks 2 in the area between the examined test track and the test track directly preceding it are "suspect". This is also assumed for all the tracks 2 in the area between the examined test track and the test track directly following it. These two areas together will be referred to as the "suspect area" 3T; Figure 4 shows two suspect areas 3T2 and 3T3, which correspond to the test tracks 2T2 and 2T3, respectively. Thus, each suspect area 3T comprises 2N tracks. In a step 142 the suspect area 3T is stored in a list referred to as the "alarm list" in the memory 25.

Although, as explained, a distinction can be made between the test tracks already examined and suspect tracks not yet examined and there may be two different lists, whose contents are treated differently, it is preferred that, for the sake of simplicity, the two lists are combined to a single list. In other words: preferably both the test tracks already examined and the suspect tracks not yet examined are stored in one list, which will be referred to as the "alarm list".

Subsequently, the control unit 22 returns to the step 104. It will be evident that the control unit 22 of the video recorder 20 is now ready much sooner than in the case of the method as illustrated in Figure 3 because the step 110 described hereinbefore with reference to Figure 3 is not carried out.

The alarm list (and the primary defect list, if any) can also be implemented by means of an initially empty memory in which only the sequence numbers of the relevant tracks are stored, or by means of a memory having a predetermined number of storage locations, each storage location corresponding to the sequence number of a given recording track.

5 After the step 105 the video recorder is ready to record information (video signals) on the disc 1 in a step 106. The write process will be substantially identical to a standard write process, with the proviso that the control unit 22 is adapted to read out the alarm list (and, if applicable the primary defect list) in the memory 25 during writing, and to skip the recording tracks appearing in said list(s). It will be evident that it is thus also possible  
10 to record a very rapid information stream, for example a real-time digital video signal, continuously without being troubled by possible spot defects. For non-detected spot defects, which are comparatively small by definition, the error correction system is activated to correct possible errors. For spot defects that have been detected the affected tracks and the suspect tracks in the vicinity are simply skipped. Furthermore, it will be evident that the method of  
15 testing the recording tracks of the recording disc, as proposed by the present invention, takes comparatively little time.

Thus, in the proposed method, not only tracks which are affected by a comparatively large spot defects 13 are skipped but also tracks which are affected only slightly by a comparatively small spot defects or which are not affected at all are skipped. After  
20 completion of the recording in the step 106, when the video recorder 20 need not be immediately ready for further commands from the user, the video recorder 20 has time to examine individual suspect tracks of the alarm list more closely in order to detect the dimensions of the spot defects for each of the test tracks specified in the primary defect list. The procedure then followed may be identical to that described for the steps 110 and 120 of  
25 Figure 3. If both the test tracks and the associated suspect tracks have been stored in the alarm list it is also simple to examine all the tracks specified in this alarm list.

In a step 151 a first track number of a test track is read from the alarm list (or, if applicable, from a primary defect list). In a step 152, similar to the step 110 described hereinbefore, the radial dimension X of the detected spot defect is determined and in a step  
30 153, similar to the step 120 described hereinbefore, said radial dimension X is compared with a predetermined threshold value M. If, as in the case of the third test track 2T3, a detected spot defect 13 is found to be larger than what is deemed permissible, the control unit 22 proceeds to a step 154, similar to the step 130 described hereinbefore, to store the addresses of the relevant affected tracks in a list which will be referred to hereinafter as "secondary defect

list" or briefly "defect list". In a step 155 the control unit 22 determines whether there is a following test track in the alarm list (or, when applicable, the primary defect list), and if this is the case the control unit 22 reverts to the step 152.

Upon a subsequent write command the control unit 22 will read the defect list in the memory 25 and will skip the tracks listed there. The defect list may be recorded on the relevant disc 1, thus enabling the test procedure to be skipped during subsequent use of the disc.

In an alternative variant the step 142 is skipped, which means that in the step 141 only the defective test tracks are entered in the list referred to as the "primary defect list". The write process carried out in the step 106 will be substantially identical to a standard write process, with the proviso that the control unit 22 is adapted to read the primary defect list in the memory 25 during writing and to skip the suspect areas (3T2; 3T3) which correspond to the test tracks (2T2; 2T3) in this list.

In a further modification of the present invention the control unit 22 is adapted to monitor the tracking signal during a write process in a manner as described hereinbefore and to interrupt the write process if the tracking signal is found to be indicative of a tracking error which is so large that an adjacent track is likely to be damaged by the write process. The write interrupt criterion proposed by the present invention is a criterion similar to the criterion for track integrity described hereinbefore but now having a higher value for the acceptance threshold. A decision to interrupt the write process is taken more in particular when the tracking error parameter D of the tracking signal is larger than  $\frac{2}{3}$  for a length of time of 60  $\mu$ s or longer.

It will be evident to one skilled in the art that the scope of the present invention is not limited to the examples described hereinbefore but that various changes and modifications thereto are possible without departing from the scope of the invention as defined in the appended Claims. For example, the present invention is already advantageous if only predetermined test tracks are examined in the test procedure, even if the test procedure is not based on the use of the tracking signal, although this is preferred.

Moreover, in the method described with reference to Figure 4 it is possible to combine the primary defect list and the alarm list to a single list in the method described with reference to Figure 4.

## CLAIMS:

1. A method of examining a recording track (2) of a record carrier (1) for the presence of a defect, wherein the track to be examined is followed and the resulting tracking signal is monitored, and wherein the examined recording track is rated on the basis of characteristics of the resulting tracking signal.

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2. A method as claimed in Claim 1, wherein the examined recording track is rated as being defective if the absolute value of the tracking signal has a value which exceeds a predetermined signal threshold for a predetermined period of time or longer.

10

3. A method as claimed in Claim 2, wherein the tracking signal has a nominal signal value of zero which corresponds to the center of a track, and has a maximum value which corresponds to a maximum lateral deviation with respect to the center of a track, and wherein a level of a preselected fraction of said maximum value is chosen as signal threshold, which preselected fraction is preferably equal to approximately 0.5.

15

4. A method as claimed in Claim 2 or 3, wherein said predetermined period of time lies in a range from approximately 50  $\mu$ s to approximately 75  $\mu$ s, and is preferably approximately 60  $\mu$ s.

20

5. A method of examining a record carrier (1) for the presence of spot defects (12; 13), the method comprising the following steps:

a) examining the integrity of predetermined test tracks (2T) of the record carrier (1), preferably by means of a method as claimed in any one of the preceding Claims;

25

b) examining the integrity of tracks (2) adjacent the relevant test track (2T2; 2T3) each time that upon the examination a test track (2T2; 2T3) appears to be defective, in order to determine in this way the number (X) of tracks (2) affected by the same spot defect (12; 13);

c) entering the relevant tracks (2) in a defect list each time that the number (X) thus determined in the step (b) is greater than a predetermined threshold value (M);

d) storing the defect list in a memory (25).

6. A method as claimed in Claim 5, wherein each time a predetermined number (N) of tracks (2) between successive test tracks (2T) is skipped, said number (N) being preferably equal to approximately 50.

7. A method as claimed in Claim 5 or 6, wherein the defect list is recorded on the examined record carrier (1).

8. A method of recording information, particularly real time video, on a record carrier (1) of the type having a multitude of concentric substantially circular recording tracks

(2), particularly a DVR disc, the method comprising the steps of:

- first providing, in an examination phase, a defect list of tracks affected by a comparatively large spot defect (13) by means of a method as claimed in any one of the Claims 5-7;
- subsequently recording information on the disc in a recording phase while reference is made to said defect list, the recording tracks included in said defect list being skipped in the recording process.

9. A method of examining a record carrier (1) for the presence of spot defects (12; 13), comprising the following steps:

a) examining the integrity of predetermined test tracks (2T) of the record carrier (1), preferably by means of a method as claimed in any one of the Claims 1-4;

b) entering the relevant tracks (2T2; 2T3) in a primary defect list each time that upon the examination of a test track (2T2; 2T3) it appears to be defective, and, optionally, entering tracks (2) situated in a suspect area (3T2; 3T3) at opposite sides of the relevant test track (2T2; 2T3) in an alarm list;

c) storing the primary defect list and, if applicable, the alarm list in a memory (25).

10. A method as claimed in Claim 9, wherein each time a predetermined number (N) of tracks (2) between successive test tracks (2T) is skipped, and wherein each suspect area always extends from the relevant test track (2T2; 2T3) to the directly preceding and the directly following test track, respectively, said number (N) being preferably approximately 50.

11. A method of recording information, particularly real time video, on a record carrier (1) of the type having a multitude of concentric substantially circular recording tracks (2), particularly a DVR disc, the method comprising the steps of:



- first providing, in a primary examination phase, a primary defect list of test tracks (2T2; 2T3)
  - having a defect and, optionally, an alarm list of tracks (2) situated in a suspect area (3T2; 3T3) at opposite sides of the relevant test tracks (2T2; 2T3), by means of a method as claimed in any one of the Claims 9-10;
- 5     - subsequently recording information on the disc in a recording phase while reference is made to said primary defect list and said optional alarm list, the recording tracks included in said primary defect list as well as the tracks (2) situated in a suspect area (3T2; 3T3) at opposite sides of the relevant test tracks (2T2; 2T3) being skipped in the recording process;
- 10    - subsequently examining the integrity of the tracks (2) in said suspect areas (3T2; 3T3) in a secondary examination phase, in order to determine in this way the number (X) of tracks (2) affected by the same spot defect (12; 13);
- entering the relevant tracks (2) in a secondary defect list each time that the number (X) thus determined is greater than a predetermined threshold value (M).
- 15    12.           A method as claimed in Claim 11, wherein the secondary defect list is recorded on the examined record carrier (1).
13.           A method of recording information in a recording track (2) of a record carrier (1), wherein the resulting tracking signal is monitored, and wherein on the basis of
- 20    characteristics of the resulting tracking signal it is decided whether the recording process is to be continued or discontinued.
14.           A method as claimed in Claim 13, wherein the recording process is
- 25    discontinued if the absolute value of the tracking signal appears to have a value which exceeds a predetermined signal threshold for a predetermined period of time or longer.
15.           A method as claimed in Claim 14, wherein the tracking signal has a nominal signal value of zero which corresponds to the center of a track, and has a maximum value which corresponds to a maximum lateral deviation with respect to the center of a track, and
- 30    wherein a level of a preselected fraction of said maximum value is adopted as signal threshold, which preselected fraction is preferably equal to approximately 2/3.

16. A method as claimed in Claim 14 or 15, wherein said predetermined period of time lies in a range from approximately 50  $\mu$ s to approximately 75  $\mu$ s, and is preferably approximately 60  $\mu$ s.

17. A recording device (20) suitable for the recording of information, particularly real time video or audio, on a record carrier (1) of the type comprising a multitude of concentric substantially circular recording tracks (2), particularly an optical disc, which recording device comprises:

- a control unit (22);

- a write/read unit (21) adapted to aim a laser beam at a track (2) of a record carrier (1) under control of the control unit (22) and to receive laser light reflected from the disc (1), and further adapted to supply a tracking signal to the control unit (22), which tracking signal has been determined on the basis of the reflected laser light;

wherein the control unit (22) is adapted to carry out the method as claimed in any one of the

Claims 1-16.

## ABSTRACT:

A method and a DVR video recorder (20) for recording real time video signals on a DVR disc (1) are described. The disc may exhibit two-dimensional spot defects (11; 12; 13) but the DVR error correction system is very powerful and is capable of correcting errors as a result of small spot defects (11; 12). In order to examine in a rapid and efficient manner whether the disc has large spot defects (13), the integrity of predetermined test tracks (2T) is assessed on the basis of the tracking signal. When a defective test track (2T2; 2T3) is found the proximity of said test track is examined further. If the number of affected tracks appears to be small, recording in these tracks is allowed; if the number of affected tracks appears to be large, these tracks are entered in a defect list, which is preferably recorded on the disc. During recording the tracks appearing in the defect list are skipped.

Fig. 1

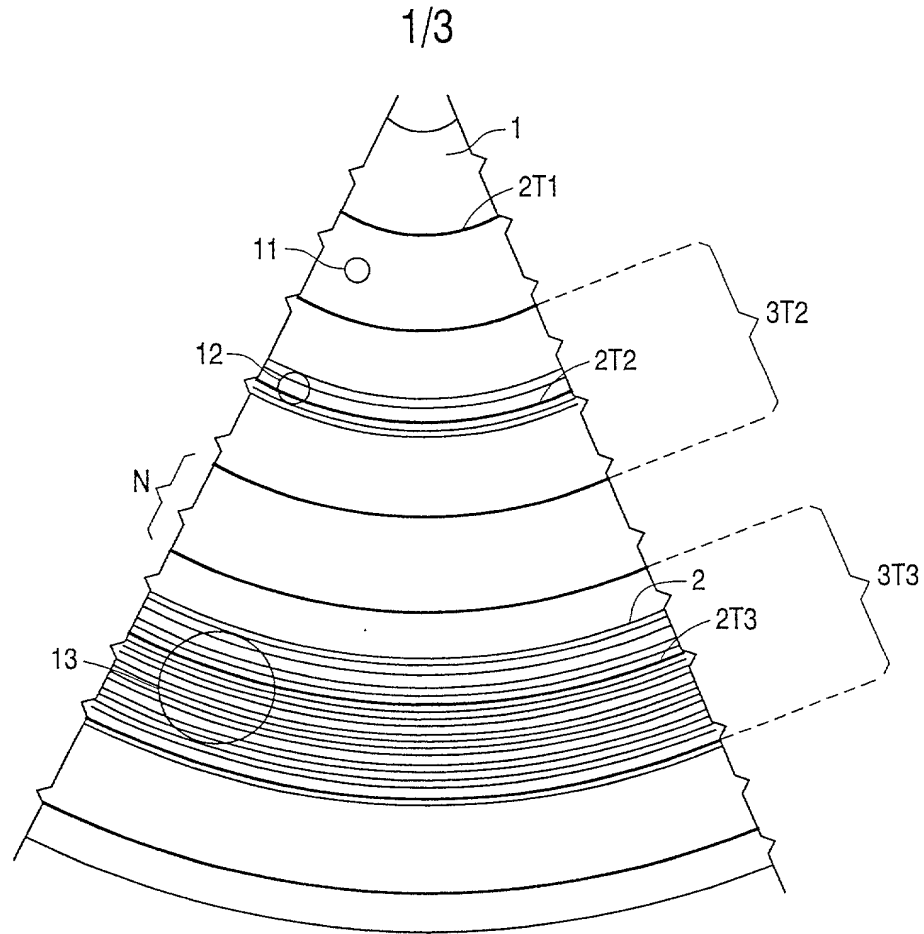


FIG. 1

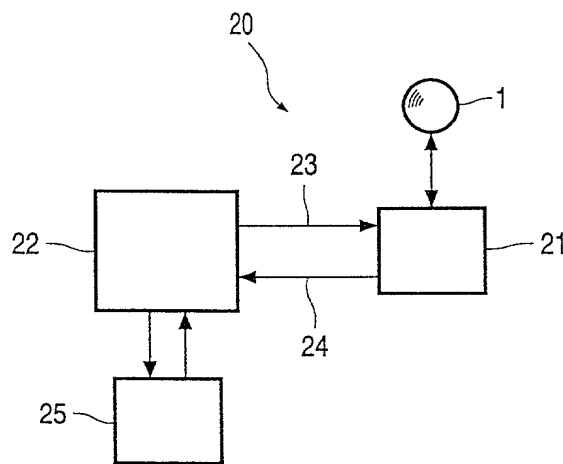


FIG. 2

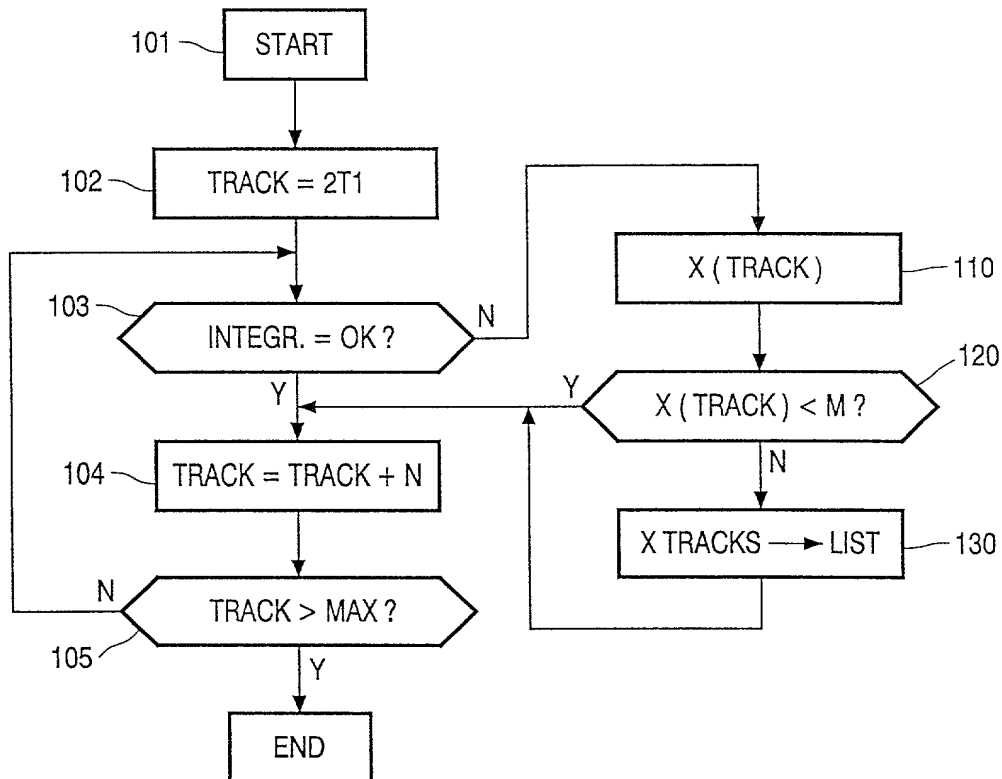


FIG. 3

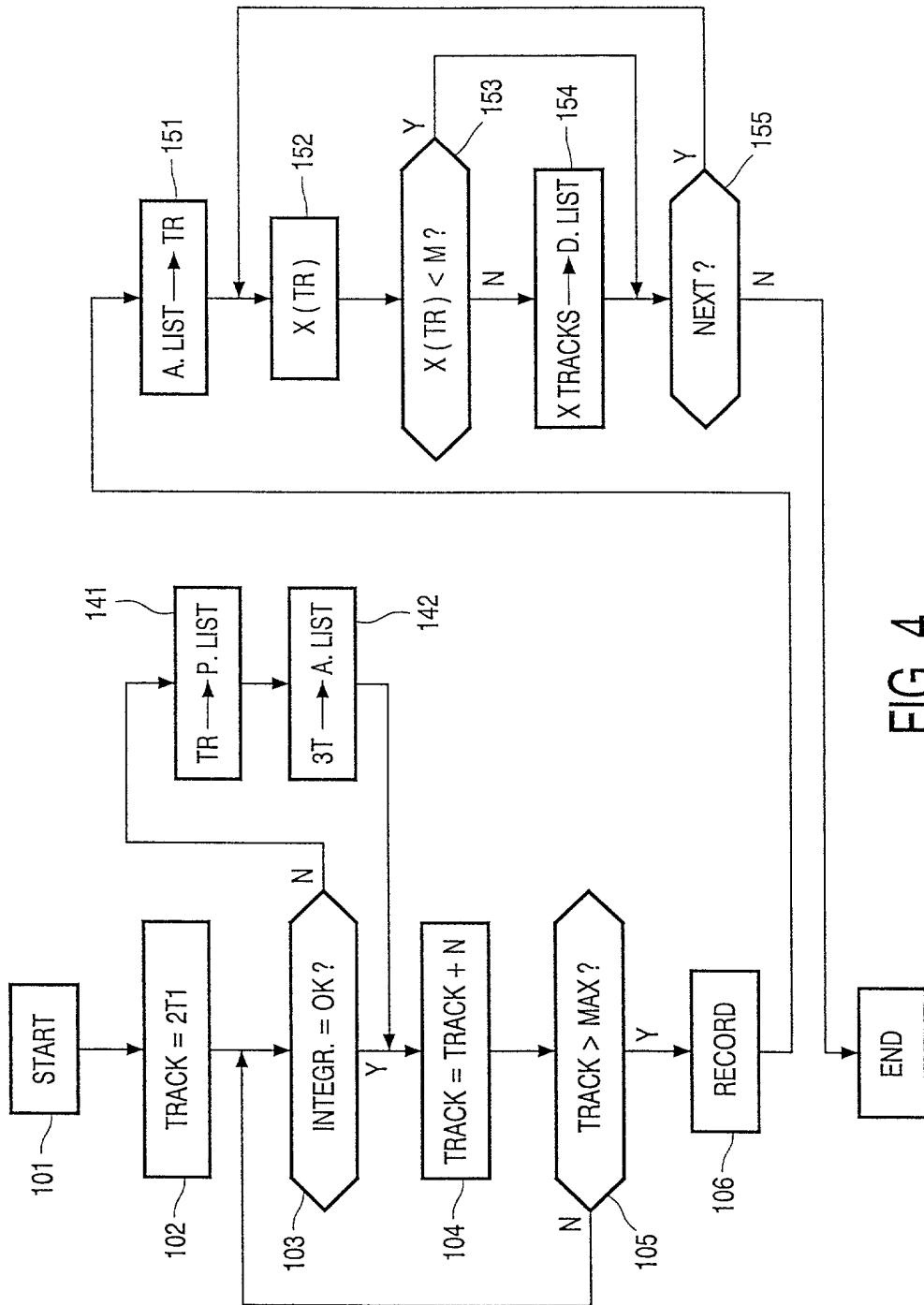


FIG. 4

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY  
(includes Reference to PCT International Applications)

ATTORNEY'S DOCKET  
NUMBER  
**PHN 17.551 US**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **"Method of scanning a recording disc for defects, and recording device for recording information on a disc-shaped recording medium"**  
the specification of which (check only one item below):

☐ is attached hereto.

☐ was filed as United States application

Serial No \_\_\_\_\_

on \_\_\_\_\_

and was amended

on \_\_\_\_\_

☒ was filed as PCT international application

Number PCT/EP00/06619

on 12 July 2000

and was amended under PCT Article 19

on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY	APPLICATION NUMBER	DATE OF FILING DAY, MONTH, YEAR	PRIORITY CLAIMED UNDER 35 USC 119
Europe	99202323.4	15 July 1999	YES

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <div style="font-size: 24pt; font-weight: bold; text-align: center;">09/787096</div>		INTERNATIONAL APPLICATION NO. PCT/EP00/06619		ATTORNEY'S DOCKET NUMBER PHN 17,551	
17 [X] The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(A)(1)-(5)): <div style="margin-left: 40px;">           Search Report has been prepared by the EPO or JPO \$940.00            International preliminary-examination fee paid to USPTO (37 C.F.R. 1.482) \$720.00            No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) \$760.00            Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO \$970.00            International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 96.00            ENTER APPROPRIATE BASIC FEE AMOUNT = \$970.00         </div>				CALCULATIONS (PTO USE ONLY)	
Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	17 - 20 =		X \$ 18.00	\$	
Independent claims	2 - 3 =		X \$ 78.00	\$	
MULTIPLE DEPENDENT CLAIMS (if applicable)			+ \$260.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$970.00	
Reductions by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 C.F.R. 1.9, 1.27, 1.28)				\$	
SUBTOTAL =				\$970.00	
Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property				\$40.00	
TOTAL FEES ENCLOSED =				\$1,010.00	
				Amount to be refunded	\$
				charged	\$
a. [ ] A check in the amount \$_____ to cover the above fees is enclosed. b. [X] Please charge my Deposit Account No. <u>14-1270</u> in the amount of <u>\$1,010.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. [X] The Commissioner is hereby authorized to charge any additional fee, with the exception of the Base Issue Fee, which may be required, or credit any overpayment to Deposit Account No. 14-1270. A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Corporate Patent Counsel <u>Philips Electronics North America Corporation</u> <u>580 White Plains Road</u> <u>Tarrytown, NY 10591</u>			<div style="text-align: right;">             (SIGNATURE)  <b>Michael E. Belk</b>            NAME  <b>33,357</b>            (REGISTRATION NUMBER)         </div>		
DATE OF MAILING: March 13, 2001					



<b>Combined Declaration For Patent Application and Power of Attorney (Continued)</b> (includes Reference to PCT International Applications)				Attorneys Docket Number <b>PHN 17.551 US</b>	
<b>POWER OF ATTORNEY:</b> As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)					
2- <b>Algy Tamoshunas Reg. No. 27,677</b> <b>Jack E. Haken, Reg. No. 26,902</b>				Direct Telephone Calls to: (name and telephone number) (914)332-0222	

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203	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
204	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
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205	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201 CITY <div style="font-family: cursive; font-size: 1.2em; margin-top: 10px;">G.v.d. Ender</div>	SIGNATURE OF INVENTOR 202   	SIGNATURE OF INVENTOR 203   
DATE <b>8 February 2001</b>	DATE	DATE
SIGNATURE OF INVENTOR 204   	SIGNATURE OF INVENTOR 205   	
DATE	DATE	